








Palbociclib versus abemaciclib in HR+/HER2- advanced breast cancer: an indirect comparison of patient-reported end points

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Aim: To assess the relative impact of palbociclib plus fulvestrant (PAL + FUL) and abemaciclib plus fulvestrant (ABEM + FUL) on patient-reported outcomes in patients with hormone receptor-positive, HER2-negative (HR+/HER2-) advanced breast cancer. **Patients & methods:** Anchored matching-adjusted indirect comparisons were conducted using individual patient data from PALOMA-3 (PAL + FUL) and summary-level data from MONARCH-2 (ABEM + FUL). Outcomes included the European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire Core 30 items (EORTC QLQ-C30) and its breast cancer-specific module (QLQ-BR23). **Results:** Significantly different changes from baseline favoring PAL + FUL compared with ABEM + FUL were observed in global quality of life (6.95 [95% CI: 2.19–11.71]; $p = 0.004$) and several functional/symptom scales, including emotional functioning, nausea/vomiting, appetite loss, diarrhea and systemic therapy side effects. **Conclusion:** PAL + FUL was associated with more favorable patient-reported outcomes than ABEM + FUL in patients with HR+/HER2- advanced breast cancer.

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Palbociclib was the first CDK4/6 inhibitor approved by the US FDA in combination with letrozole for the treatment of women with hormone receptor positive (HR+), HER2-negative (HER2-) advanced breast cancer (ABC) in February 2015 [1]. In February 2016, the indication was extended to include combination therapy with palbociclib and fulvestrant (PAL + FUL) [1]. The approval of PAL + FUL was supported by findings from the Phase III PALOMA-3 trial, which showed significantly improved progression-free survival (PFS) compared with placebo in combination with fulvestrant (PBO + FUL) [2].

In March 2017, ribociclib was approved in combination with an aromatase inhibitor (AI), and the indication was extended to include combination therapy with fulvestrant in July 2018 [3,4]. Abemaciclib became the third CDK4/6 inhibitor to receive FDA approval in combination with fulvestrant (ABEM + FUL) in September 2017 [5] based on findings of improved PFS compared with PBO + FUL in the Phase III MONARCH-2 trial [6].

Although improvements in PFS and overall survival (OS) compared with PBO + FUL have been demonstrated with each of the available CDK4/6 inhibitors [2,4,6,7], these therapies have not been directly compared in head-to-head randomized controlled trials (RCTs) in patients with HR+/HER2- ABC. In the absence of data from RCTs, indirect treatment comparisons (ITCs) can be conducted to control for differences in patient populations between studies, allowing for meaningful comparisons of treatment effects. When individual patient data (IPD) are available for one study and summary-level data are available for a comparator study, matching-adjusted indirect

comparison (MAIC) methods can be used to reduce differences between trials by matching and adjusting the IPD to the summary-level data of the comparator trial. If a common comparator is available, an anchored MAIC can be conducted to further adjust for differences in prognostic factors across trials by anchoring through the control arm.

A previous ITC showed similar relative PFS between palbociclib, ribociclib and abemaciclib [8]. A recently published anchored MAIC compared OS between palbociclib and each of the other CDK4/6 inhibitors using IPD from PALOMA-3 and summary-level data from MONALEESA-3 and MONARCH-2 to account for differences between trials [9]. The results of this study showed that relative improvements in OS are similar between CDK4/6 inhibitors despite considerably different median survival times reported across studies, highlighting the importance of adjusting for cross-trial differences when comparing treatment effects.

In addition to improving survival outcomes, maintaining health-related quality of life (HRQoL) is a core goal of therapy for patients with HR+/HER2- ABC [10–13]. However, HRQoL may be impacted by treatment-related toxicity and disease burden [11,13–15]; therefore, patient-reported outcomes (PROs) that assess HRQoL are critical to inform treatment decisions [16]. Safety profiles of the CDK4/6 inhibitors used in the treatment of patients with HR+/HER2- ABC are well characterized. Hematologic adverse events (primarily neutropenia) are common with all of these therapies; however, abemaciclib is also associated with a high risk of diarrhea [6,13,17,18], and ribociclib requires regular monitoring for potential prolongation of the QT interval [3,18].

To the best of our knowledge, no ITCs have been conducted to compare HRQoL outcomes between any CDK4/6 inhibitors in this patient population. The objective of the present study was to conduct an anchored MAIC analysis by leveraging IPD from the PALOMA-3 study and summary-level data from the MONARCH-2 study to compare PRO scores between PAL + FUL and ABEM + FUL among patients with HR+/HER2- ABC. Comparisons with ribociclib were not conducted in this study due to limited reporting of change from baseline (CFB) in scores for several PRO scales of interest in publications for MONALEESA-3 [19].

Patients & methods

Patient-reported outcome assessment

PROs used in the present analysis included the European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire Core 30 items (EORTC QLQ-C30) and its breast cancer-specific module (EORTC QLQ-BR23). The QLQ-C30 is a 15-scale, 30-item questionnaire that includes one global health status (GHS)/QoL scale, five functional scales (physical, role, emotional, cognitive and social functioning), and nine symptom scales (fatigue, nausea/vomiting, pain, dyspnea, insomnia, appetite loss, constipation, diarrhea and financial difficulties). The QLQ-BR23 is a 23-item breast cancer-specific questionnaire that includes four functional scales (body image, sexual functioning, sexual enjoyment and future perspectives) and four symptom scales (systemic therapy side effects, breast symptoms, arm symptoms and upset by hair loss) [11]. Data for the sexual enjoyment and upset by hair loss scales were not available from MONARCH-2; therefore, these scales were not included in the present analysis. For global QoL and functional scales, higher scores indicate better QoL/functioning than lower scores. For symptom scales, higher scores indicate increased symptom severity [11].

Anchored matching-adjusted indirect comparisons

PALOMA-3 compared PAL + FUL to PBO + FUL and MONARCH-2 compared ABEM + FUL to PBO + FUL in the treatment of women with HR+/HER2- ABC. IPD were available for the PALOMA-3 trial and published summary-level data were available for the MONARCH-2 trial. Since PBO + FUL is a common comparator between the two trials and there are known cross-trial differences [9], an anchored MAIC was deemed an appropriate method for comparing results of PROs for PAL + FUL and ABEM + FUL.

The methods used for the MAICs were consistent with those presented by the National Institute for Health and Care Excellence (NICE) [20]. Patients in the PALOMA-3 [2] IPD were matched with those in MONARCH-2 [6] based on inclusion/exclusion criteria to ensure that patients who would not have been eligible for MONARCH-2 did not contribute to effect estimates. To match the trial populations, patients who had prior chemotherapy for metastatic breast cancer (MBC) or who had ≥ 2 prior lines of endocrine therapy (ET) for MBC were removed from the PALOMA-3 IPD. After matching, the IPD were adjusted (i.e., reweighted) to align with the means and variances of baseline characteristics reported in the MONARCH-2 trial; this step estimates how PAL + FUL would have performed in the MONARCH-2 population. Patient-level weights were computed via a propensity score model, where patients from PALOMA-3 were weighted using the inverse odds of being in PALOMA-3 compared

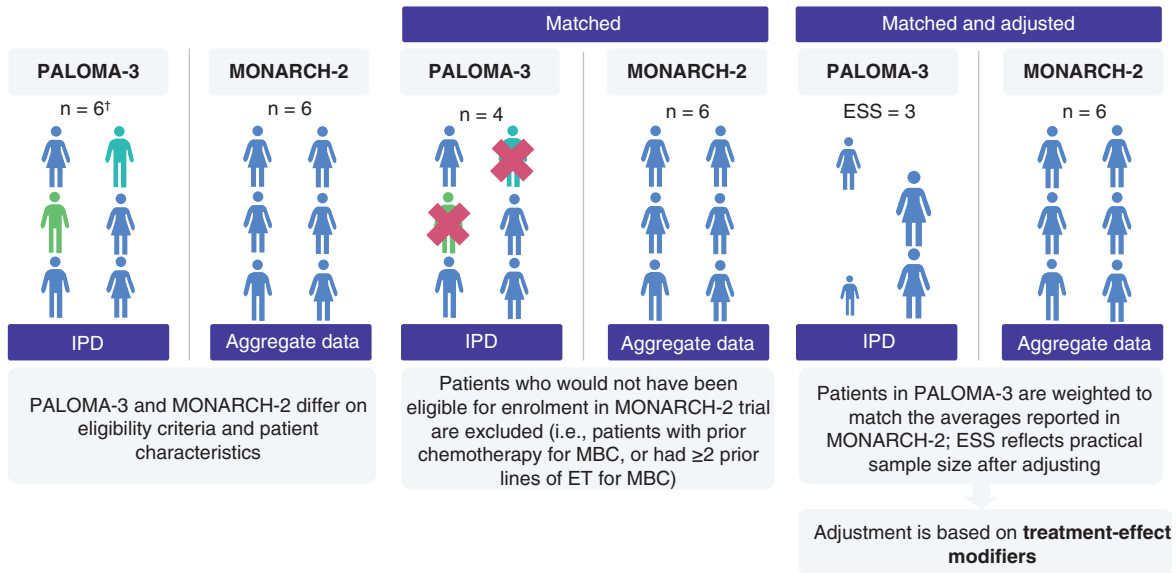


Figure 1. Overview of matching & adjusting steps in a matching-adjusted indirect treatment comparison.

[†]Note that the n's presented in this figure are for illustrative purposes only.

ESS: Effective sample size; ET: Endocrine therapy; IPD: Individual patient data; MBC: Metastatic breast cancer.

with MONARCH-2 based on baseline characteristics. An overview of the matching and adjusting steps used in this analysis is presented in Figure 1.

The MAICs performed for the comparison of PAL + FUL and ABEM + FUL were anchored with PBO + FUL as the common comparator; therefore, differences in prognostic characteristics across the PALOMA-3 and MONARCH-2 trials were controlled for when comparing relative treatment effects. Anchored MAICs only rely on the assumption that trials are similar with respect to treatment-effect modifiers; therefore, the MAICs performed in this analysis exclusively relied on balancing baseline characteristics that may alter the treatment effects between PALOMA-3 and MONARCH-2.

Treatment-effect modifiers

Although matching based on inclusion/exclusion criteria results in similar patients being included between trials, baseline characteristics may still vary between study populations. For example, a smaller proportion of patients had no previous lines of therapy for MBC in the PALOMA-3 PRO set (25%) than in MONARCH-2 (61%). Table 1 presents the differences in baseline characteristics for the PALOMA-3 and MONARCH-2 populations used in the MAICs. Since anchored MAICs are not affected by known or unknown prognostic variables, the IPD for PALOMA-3 only had to be adjusted to align with the means and variances of the treatment-effect modifiers from MONARCH-2.

The impact of treatment-effect modifiers on the results of MAICs can vary. In general, treatment-effect modifiers that greatly impact treatment effects and significantly differ between trials have a large impact on MAIC results, whereas those that only slightly impact treatment effects and are similar between trials have a lesser impact on MAIC results. Including unnecessary covariates can increase variance of the estimated treatment effects but omitting an important covariate can result in bias. Therefore, it was necessary to leverage both clinical and statistical expertise to select covariates to balance while reweighing the PALOMA-3 IPD.

A previous MAIC was conducted using IPD from PALOMA-3 and published summary-level data from MONARCH-2 to investigate OS [9]. The MAIC selected treatment-effect modifiers that were deemed important based on assessment of the literature and clinical opinion, extent of treatment modifications, differences in characteristics between trials and impact on effective sample size (ESS) [9]. The same rank-ordered treatment-effect modifiers were used in the present analysis; however, measurable disease was not included, as this variable had a strong negative linear correlation with metastatic site.

Table 1. Baseline characteristics in the PALOMA-3 and MONARCH-2 studies considered in the matching-adjusted indirect comparisons.

Characteristic	Category	PALOMA-3 [†] (n = 501), n (%)	MONARCH-2 (n = 669), n (%)	SMD
Age [‡] , years, estimated mean (SD)	–	58.0 (11.5)	58.8 (10.4)	0.073
Race	White	369 (74)	373 (59)	0.322
	Asian	103 (21)	214 (34)	0.294
	Other	27 (5)	42 (7)	0.084
Region	North America	231 (46)	178 (27)	0.403
	Europe	160 (32)	279 (42)	0.208
	Asia	110 (22)	212 (31)	0.205
ECOG PS	1	189 (38)	264 (40)	0.041
	0	311 (62)	400 (60)	
Metastatic site	Visceral	297 (59)	373 (56)	0.061
	Bone only	113 (23)	180 (27)	0.092
	Visceral and bone only	3 (1)	0 (0)	0.142
	Other	88 (17)	113 (17)	0
Organs involved (n)	1	166 (33)	263 (40)	0.146
	2	145 (29)	200 (30)	0.022
	≥3	190 (38)	203 (30)	0.169
Prior AI	Yes	432 (86)	465 (70)	0.394
	No	69 (14)	204 (30)	
Prior chemotherapy	Neoadjuvant or adjuvant	212 (42)	401 (60)	0.366
	Metastatic	161 (32)	0 (0)	0.970
	None	128 (26)	268 (40)	0.301
Sensitivity to prior ET	Yes	396 (79)	488 (74) [§]	0.118
	No	105 (21)	172 (26) [¶]	
Previous lines of therapy for MBC	0	124 (25)	396 (61)	0.781
	1	194 (39)	256 (39)	0
	≥2	183 (36)	0 (0)	1.061
Menopausal status	Pre-/perimenopausal	102 (20)	114 (17)	0.077
	Postmenopausal	399 (80)	551 (83)	

Percentages reflect the total number of patients with available data for the specified variable.
[†] Values for PALOMA-3 were calculated using IPD.
[‡] The estimated mean and SD for each variable in MONARCH-2 was calculated by first calculating the sample mean and SD for the treatment and placebo arms, and then using those values to calculate the pooled mean and SD.
[§] Secondary ET resistance used to identify sensitivity to prior ET.
[¶] Primary ET resistance used to identify no sensitivity to prior ET.
 AI: Aromatase inhibitor; ECOG PS: Eastern Cooperative Oncology Group performance status; ET: Endocrine therapy; IPD: Individual patient data; MBC: Metastatic breast cancer; SD: Standard deviation; SMD: Standardized mean difference.
 Data for MONARCH-2 taken from [6,21].

Accounting for the longitudinal structure of the data

The IPD from the PALOMA-3 trial were longitudinal (i.e., outcomes for patients were measured at several time points). However, the anchored MAICs did not consider the longitudinal structure of the data; therefore, patient-level weights were extracted from the MAIC. The weights were then incorporated into a repeated measures mixed-effect model with a binary treatment indicator (i.e., PAL + FUL vs ABEM + FUL) for each outcome (i.e., each QLQ-C30 or QLQ-BR23 subscale). The covariates of the repeated measures mixed-effect model were treatment, treatment by time and baseline scores for the outcome of interest. This approach used a restricted maximum likelihood method with an unstructured covariance matrix [11]. Outputs from the repeated measures mixed-effect model were then used in conjunction with the summary-level data from MONARCH-2 in least-square (LS) mean calculations to determine the mean estimate and find the mean difference (MD) between PAL + FUL and ABEM + FUL and the related 95% CI.

A histogram of the patient level weights extracted from the MAICs of PAL + FUL to ABEM + FUL is shown in [Supplementary Figure 1](#).

Traditional ITC methods

Similar to MAICs, traditional (Bucher) ITCs compare the relative effects between two treatments anchored through a common comparator. However unlike MAICs, traditional ITC methods only require summary-level data from both trials in the comparison [20,22]. In this study, the MAICs represented the primary analysis and the traditional ITCs were only conducted to validate the results of the MAICs.

Adjusting for multiplicity

Due to the large number of outcomes being considered in the comparison of PAL + FUL to ABEM + FUL, there was a risk of Type I error. To adjust for multiplicity, the Bonferroni correction was applied to the 15 scales of the QLQ-C30 and the six scales of the QLQ-BR23, separately.

Results

Matching the PALOMA-3 IPD to the MONARCH-2 population

In total, 501 patients were included in the PALOMA-3 PRO IPD. After removing any patients with missing values for baseline characteristics of interest, 495 patients remained. After matching, a total of 270 patients remained. In terms of reasons for removal from the PALOMA-3 IPD, 43 patients had chemotherapy in the metastatic setting, 65 patients had ≥ 2 previous lines of therapy for MBC and 117 patients met both exclusion criteria (Supplementary Figure 2). Out of the 270 patients remaining in the PALOMA-3 IPD, 180 patients received PAL + FUL and 90 patients received PBO + FUL.

Global QoL & functional scales (QLQ-C30)

Results of the MAICs for global QoL (MD: 6.95 [95% CI: 2.19–11.71]; $p = 0.004$; Figure 2) and emotional functioning (MD: 5.40 [95% CI: 0.78–10.02]; $p = 0.022$) showed statistically significant differences in favor of PAL + FUL compared with ABEM + FUL.

The MAIC results for the remaining functional scales of the QLQ-C30 were numerically in favor of PAL + FUL compared with ABEM + FUL (Figure 2), including physical functioning (MD: 0.92 [95% CI: -3.29 to 5.13]; $p = 0.668$), role functioning (MD: 1.73 [95% CI: -3.77 to 7.23]; $p = 0.538$), cognitive functioning (MD: 2.01 [95% CI: -2.48 to 6.50]; $p = 0.380$) and social functioning (MD: 3.18 [95% CI: -2.49 to 8.85] $p = 0.272$); however, none of these results reached statistical significance.

Traditional ITC results based on summary-level data only for the QLQ-C30 global QoL and functional scales were consistent with the MAIC results (Figure 2). A visualization of the LS mean CFB for PAL + FUL (unmatched and unadjusted, matched and adjusted) and ABEM + FUL (summary-level data) for the QLQ-C30 global QoL and functional scales are shown in Figure 3.

Symptom scales (QLQ-C30)

Results of the MAICs for the QLQ-C30 symptom scales showed statistically significant differences in favor of PAL + FUL compared with ABEM + FUL for nausea/vomiting (MD: -6.55 [95% CI: -10.06 to -3.04]; $p < 0.001$; Figure 2), appetite loss (MD: -7.44 [95% CI: -12.90 to -1.98]; $p = 0.008$) and diarrhea (MD: -25.47 [95% CI: -29.81 to -21.13]; $p < 0.001$).

No statistically significant differences were found for the other QLQ-C30 symptom scales. The MAIC results for fatigue (MD: -3.58 [95% CI: -8.54 to 1.38]; $p = 0.157$; Figure 2), pain (MD: -3.13 [95% CI: -8.72 to 2.46]; $p = 0.272$), dyspnea (MD: -1.39 [95% CI: -6.51 to 3.73]; $p = 0.595$) and constipation (MD: -0.24 [95% CI: -5.49 to 5.01]; $p = 0.929$) were numerically in favor of PAL + FUL, whereas MAIC results for insomnia (MD: 0.56 [95% CI: -5.60 to 6.72]; $p = 0.859$) and financial difficulties (MD: 1.08 [95% CI: -4.12 to 6.28]; $p = 0.684$) were numerically in favor of ABEM + FUL.

Traditional ITC results based on summary-level data only for the QLQ-C30 symptom scales were generally consistent with the MAIC results, with the exception of constipation (Figure 2). However, neither the traditional ITC nor the MAIC results for constipation were statistically significant. Figure 3 shows LS mean CFB for PAL + FUL (unmatched and unadjusted, matched and adjusted) and ABEM + FUL (summary-level data) for the QLQ-C30 symptom scales.

Functional scales (QLQ-BR23)

None of the MAIC results for the QLQ-BR23 functional scales were statistically significant. The MAIC results for body image (MD: 0.64 [95% CI: -4.25 to 5.53]; $p = 0.798$; Figure 2) and future perspective (MD: 6.17 [95% CI: -0.86 to 13.20]; $p = 0.086$) were numerically in favor of PAL + FUL compared with ABEM + FUL; and MAIC results for sexual functioning (MD: -2.76 [95% CI: -6.44 to 0.92]; $p = 0.142$) were numerically in favor of ABEM + FUL compared with PAL + FUL.

The results of traditional ITCs based on summary-level data from both trials for the QLQ-BR23 functional scales were generally consistent with the MAIC results (Figure 2). The LS mean CFB for PAL + FUL (unmatched

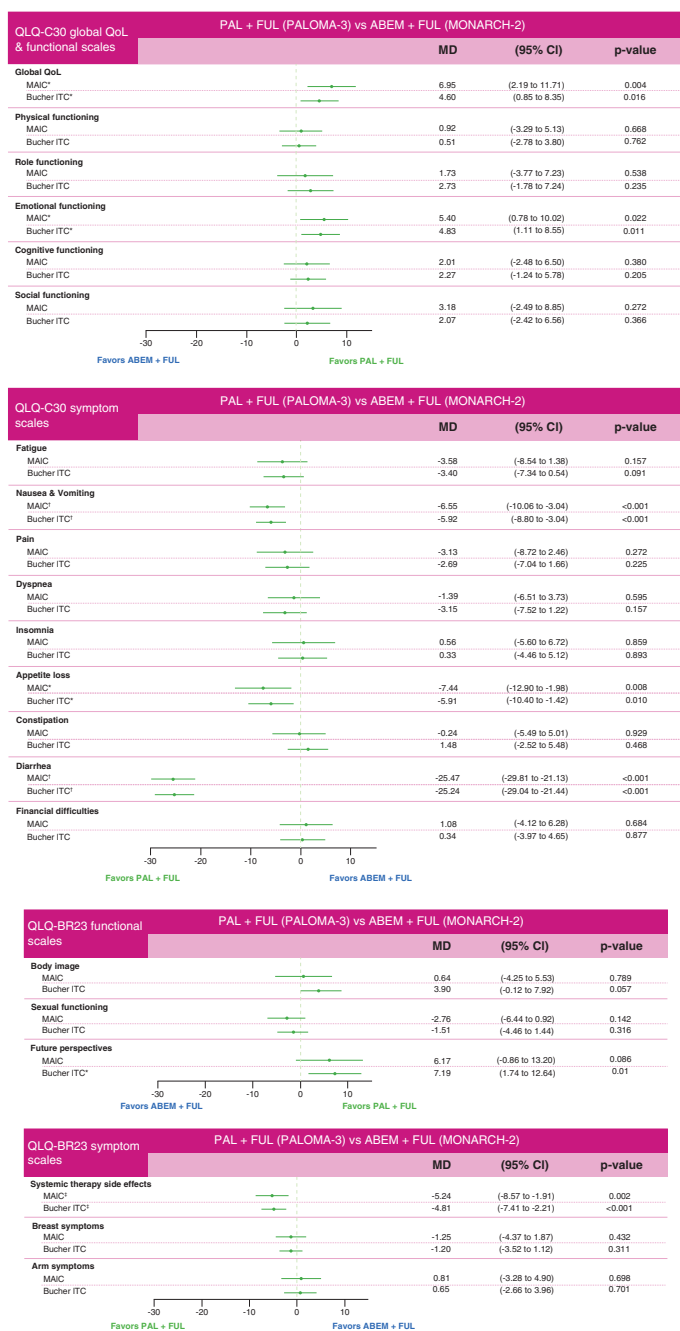


Figure 2. Matching-adjusted indirect comparison results and traditional indirect treatment comparison results comparing palbociclib + fulvestrant to abemaciclib + fulvestrant for the EORTC QLQ-C30 and QLQ-BR23 global quality of life, functional, and symptom scales. For global QoL and functional scales, MD >0 indicates direction of improved outcome for PAL+FUL. For symptom scales, MD >0 indicates direction of improved outcome for ABEM+FUL. The following treatment-effect modifiers are adjusted for in the MAIC of PAL+FUL to ABEM+FUL: race, previous lines of therapy for MBC, number of organs involved, region, metastatic site, age, prior chemotherapy, sensitivity to prior ET, baseline ECOG PS, prior AI, and menopausal status.

*p < 0.05.

[†]Statistical significance according to the Bonferonni correction for the EORTC QLQ-C30 scales (p < 0.003).

[‡]Statistical significance according to the Bonferonni correction for the EORTC QLQ-BR23 scales (p < 0.008).

ABEM: Abemaciclib; AI: Aromatase inhibitor; ECOG PS: Eastern Cooperative Oncology Group performance status; EORTC QLQ-BR23: European Organisation for Research and Treatment of Cancer Breast Cancer Module; EORTC QLQ-C30: European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire-Core 30 items. ET: Endocrine therapy; FUL: Fulvestrant; MAIC: Matching-adjusted indirect comparison; MBC: Metastatic breast cancer; MD: Mean difference; PAL: Palbociclib; QoL: Quality of life.

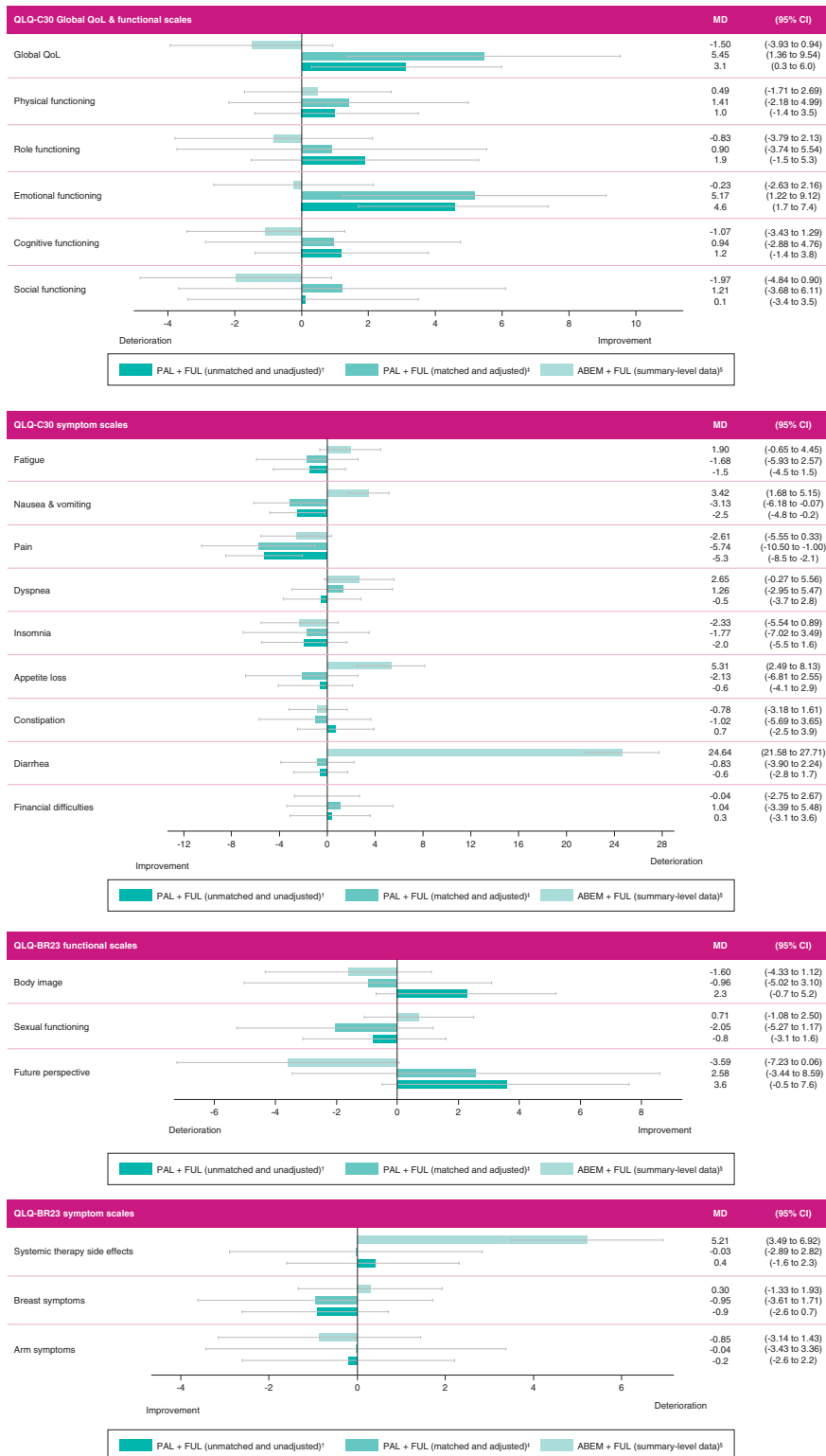


Figure 3. Overall least squares means change from baseline for the EORTC QLQ-C30 and QLQ-BR23 global quality of life, functional, and symptom scales. For global QoL and functional scales, MD >0 indicate improved QoL/functioning. For symptom scales, MD >0 indicate increased symptom severity. The grey bars represent the CIs for each mean difference.

†LS mean CFB from the unmatched and unadjusted population in PALOMA-3 for PAL+FUL vs PBO+FUL.

‡LS mean CFB from the matched and adjusted population in PALOMA-3 for PAL+FUL vs PBO+FUL.

§LS mean CFB from MONARCH-2 for ABEM+FUL vs PBO+FUL.

ABEM: Abemaciclib; CFB: Change from baseline; EORTC QLQ-BR23: European Organisation for Research and Treatment of Cancer Breast Cancer Module; EORTC QLQ-C30: European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire-Core 30 items; FUL: Fulvestrant; LS: Least-squares; MD: Mean difference; PAL: Palbociclib; PBO: Placebo; QoL: Quality of life.

and unadjusted, matched and adjusted) and ABEM + FUL (summary-level data) for the QLQ-BR23 functional scales are shown in [Figure 3](#).

Symptom scales (QLQ-BR23)

Results of the MAIC for systemic therapy side effects (MD: -5.24 [95% CI: -8.57 to -1.91]; $p = 0.002$; [Figure 2](#)) were statistically significant in favor of PAL + FUL compared with ABEM + FUL.

The MAIC results for the other QLQ-BR23 symptom scales did not show statistically significant differences between treatment arms. The results for breast symptoms (MD: -1.25 [95% CI: -4.37 to 1.87]; $p = 0.432$; [Figure 2](#)) were numerically in favor of PAL + FUL, and results for arm symptoms (MD: 0.81 [95% CI: -3.28 to 4.90]; $p = 0.698$) were numerically in favor of ABEM + FUL.

Traditional ITC results based on summary-level data only for the QLQ-BR23 symptom scales were consistent with the MAIC results ([Figure 2](#)). A visualization of the LS mean CFB for PAL + FUL (unmatched and unadjusted, matched and adjusted) and ABEM + FUL (summary-level data) for the QLQ-BR23 symptom scales is shown in [Figure 3](#).

Discussion

After matching study populations based on inclusion/exclusion criteria and adjusting for differences in baseline characteristics between trials, several statistically significant differences in favor of PAL + FUL compared with ABEM + FUL were observed. Other results were not statistically significant, although most were numerically in favor of PAL + FUL compared with ABEM + FUL. No HRQoL/PRO end points were shown to favor ABEM + FUL compared with PAL + FUL. Overall, these results indicate that PAL + FUL may better improve or maintain HRQoL among patients with HR+/HER2- ABC than ABEM + FUL.

To the best of our knowledge, no RCTs have been conducted to directly compare the safety and efficacy of PAL + FUL and ABEM + FUL, as well as their relative impact on HRQoL. Recent ITCs have shown similar relative PFS [8] and OS [9] between PAL + FUL and ABEM + FUL; however, no comparative evidence is available for the relative impact of these therapies on PROs, despite maintenance of HRQoL being an important goal of therapy for patients with HR+/HER2- ABC [10–13]. The present study is the first to use MAIC methods to compare the effect of PAL + FUL and ABEM + FUL on PROs assessing HRQoL. Individually reported results from the PALOMA-3 trial showed significant differences in favor of PAL + FUL compared with PBO + FUL in EORTC QLQ-C30 global QoL scores and CFB in pain and nausea/vomiting [11]. However, a significantly greater deterioration from baseline in QLQ-BR23 'upset by hair loss' score was observed with PAL + FUL than with PBO + FUL. No other significant differences between groups were observed. In contrast, in MONARCH-2, the results were similar between groups for most functional and symptom scales, although there were significant differences in favor of PBO + FUL compared with ABEM + FUL in appetite loss, nausea/vomiting, diarrhea and systemic therapy side effects [13]. The results of the present study are consistent with the individually reported trial results of HRQoL for PAL + FUL and ABEM + FUL and inform our understanding of the relative impact of these agents on patient-reported experiences.

The EORTC QLQ-C30 is among the most commonly used measures of HRQoL in oncology studies; however, there are challenges with clinical interpretation of results using this tool [23]. The use of minimally important differences (MIDs) can help enhance clinical interpretation of HRQoL outcomes [23,24]. Cocks *et al.* have published evidence-based guidelines that provide MIDs to aid in interpretation of results using the QLQ-C30 [23]. Applying these guidelines to the MAIC results in the present study indicates that statistically significant and clinically relevant differences in favor of PAL + FUL compared with ABEM + FUL were observed for global QoL, nausea/vomiting, appetite loss and diarrhea. According to the guidelines, all other differences between groups were of trivial clinical significance. The statistically significant and clinically meaningful difference between groups for global QoL may be particularly important, as this measure represents the totality of a patient's experience; this supports greater improvements in QoL with PAL + FUL than with ABEM + FUL. Guidelines providing MIDs for the QLQ-BR23 scales were not identified; therefore, we are unable to comment on the clinical significance of the findings for these outcomes.

The finding of a statistically significant, clinically relevant difference in diarrhea scores between PAL + FUL and ABEM + FUL is noteworthy. Diarrhea is a common adverse event of treatment with abemaciclib [6,13,17], and a recent indirect analysis of RCTs found that palbociclib was associated with a reduced risk of diarrhea compared with abemaciclib (relative risk: 0.13 [95% CI: 0.02–0.92]; $p = 0.04$). Risk of diarrhea is also an important consideration

in terms of therapy choice among patients and their physicians. A recent study used a discrete choice experiment and a best-worst scaling exercise to understand preferences regarding attributes of treatment-related toxicity among patients with MBC and oncologists in the USA [18]. Avoiding the risk of diarrhea was rated as one of the most important attributes for choice of CDK4/6 inhibitor among both patients and physicians. Consequently, the profile of palbociclib was deemed preferable to that of abemaciclib (both in combination with an AI). Furthermore, in the present study, both statistically significant and clinically meaningful differences in favor of PAL + FUL were also found in nausea/vomiting and appetite loss scores, suggesting that patients are more likely to perceive improvements across multiple gastrointestinal symptoms with PAL + FUL than with ABEM + FUL.

In addition to impacting treatment decisions among patients and clinicians, HRQoL data are widely considered in value assessment frameworks and in evaluations by health technology assessment (HTA) agencies. In the USA, value assessment frameworks inform how resources should be allocated to achieve the best clinical health and HRQoL outcomes while also providing a tool to assist payers with coverage, formulary and reimbursement decisions [25]. Notably, these frameworks consistently emphasize the importance of the patient perspective and HRQoL data. For example, the Institute for Clinical and Economic Review value assessment framework considers HRQoL as a critical health outcome in clinical effectiveness evaluations and gives greater weight to changes that impact quantity or quality of life than to intermediate clinical outcomes [26]. In terms of clinical organizations, the American Society of Clinical Oncology Value Framework includes bonus points in their net health benefit calculations if a product improves HRQoL or symptom palliation; however, the American Society of Clinical Oncology also recognizes that deficient consideration is currently given to PROs because of the lack of consistent reporting of such outcomes in clinical trials [27]. Similarly, although the European Society for Medical Oncology Magnitude of Clinical Benefit Scale considers credit adjustments based on results of PROs for HRQoL in clinical trials, the most recent version highlights the need for further consultation with patients and patient advocacy organizations regarding the validity of HRQoL credit allocation or deduction [28].

In Canada, the pan-Canadian Oncology Drug Review includes HRQoL as part of the effectiveness assessment in their deliberative framework [29]. However, in a study that assessed the frequency at which HRQoL data were included in submissions to the the pan-Canadian Oncology Drug Review between 2015 and 2018, 56% of submissions did not have original data on HRQoL [30]. Similar findings were reported in a study that evaluated data from six European HTA agencies from England/Wales, France, Germany, the Netherlands, Poland and Scotland [31]. Although all agencies considered HRQoL a relevant end point, only 54% of publicly available relative effectiveness assessments for anticancer drugs approved by the EMA between 2011 and 2013 included original data for HRQoL [31]. Of the reports that included HRQoL data, the results of these outcomes impacted the recommendation in 74% of relative effectiveness assessments, including in 100% of the assessments in France, Germany and the Netherlands [31]. Furthermore, HRQoL data had a negative impact on only 7% of recommendations across all jurisdictions, highlighting the limited potential downside of including such outcomes from the HTA perspective [31]. These findings highlight that, despite the recognized importance of HRQoL to healthcare decision makers, comparative evidence for such outcomes is often lacking. The present study helps to address this need in the HR+/HER2- ABC setting. Overall, PROs are important tools for value-based care, and capturing the patient perspective with such outcomes may ultimately improve the patient experience, increase the length of time on treatment and improve survival [32–35].

There are several strengths of the present study. This is the first analysis to use MAIC methods to compare HRQoL outcomes between treatments in patients with breast cancer, leveraging IPD from PALOMA-3 to reduce differences in patient populations between trials by adjusting for treatment-effect modifiers and anchoring through a common comparator. The comparison was largely made possible because of the fully disaggregated reporting of CFB in EORTC QLQ-C30 and QLQ-BR23 scales in the MONARCH-2 publication [13]. In addition, the methods used in the analysis were consistent with guidance issued by NICE [20] and leveraged rank-ordered clinically relevant treatment-effect modifiers from a previous MAIC [9]. Furthermore, the MAIC results for the EORTC QLQ-C30 were compared with MIDs from evidence-based guidelines [23] to aid in clinical interpretation of the findings. Overall, these MAICs allowed for a robust comparison of HRQoL outcomes between PAL + FUL and ABEM + FUL.

This study has some limitations that should be considered. MAIC methodology assumes that all treatment-effect modifiers are balanced between trials, and anchored analyses preserve randomization and are not affected by known or unknown prognostic variables [20,36]; however, differences in characteristics included in the analysis were limited by summary-level data reported in the publications for MONARCH-2. Furthermore, the analysis was unable to

account for differences in the management of adverse events (and associated tolerability of the drugs based on adherence, dose modification/titration and discontinuation data) that may have impacted the comparison because access to IPD was only available for the PALOMA-3 trial. As the primary outcomes of this analysis included 21 scales across the EORTC QLQ-C30 and the QLQ-BR23, there was a risk of Type I error due to multiplicity. To account for this, the Bonferroni correction was applied to the 15 scales of the QLQ-C30 and the six scales of the QLQ-BR23 separately. The analysis did not include the upset by hair loss or sexual enjoyment QLQ-BR23 scales as data for these outcomes were not available from MONARCH-2. Since the patient population of PALOMA-3 was matched and adjusted to that of MONARCH-2, ESS was reduced. Furthermore, given the longitudinal aspect of the data, an accurate ESS could not be obtained for the MAICs. However, since several statistically significant differences were found even after the Bonferroni correction was applied and given the concordance between the traditional ITC and MAIC results, decreased and/or inaccurate ESS likely did not impact the conclusions.

Conclusion

This is the first published MAIC analysis of HRQoL outcomes among patients with breast cancer. The results of this study suggest that PAL + FUL is associated with favorable outcomes on several cancer-specific PRO end points compared with ABEM + FUL in terms of both statistical and clinical significance. Therefore, PAL + FUL may be the preferred treatment choice to maintain HRQoL and improve patient experience compared with ABEM + FUL. These findings may help to inform shared treatment decisions for patients with HR+/HER2- ABC and clinicians, and system-level decision-making among payers and HTA agencies.

Summary points

- Palbociclib (PAL) and abemaciclib (ABEM) are CDK4/6 inhibitors approved, in combination with fulvestrant (FUL), for the treatment of hormone receptor-positive, HER2-negative advanced breast cancer (HR+/HER2- ABC).
- No head-to-head randomized controlled trials have been conducted to directly compare PAL + FUL and ABEM + FUL.
- In addition to improving survival outcomes, maintaining health-related quality of life (HRQoL) while on treatment is an important consideration for patients with HR+/HER2- ABC; however, comparative data for CDK4/6 inhibitors are unavailable.
- The present study used anchored matching-adjusted indirect comparison (MAIC) methods leveraging individual patient data from the PALOMA-3 study and summary-level data from the MONARCH-2 study to compare patient-reported outcomes between PAL + FUL and ABEM + FUL among patients with HR+/HER2- ABC.
- The MAIC results showed that PAL + FUL was associated with significant improvements compared with ABEM + FUL across several functional and symptom subscales, including global QoL, emotional functioning, nausea/vomiting, appetite loss, diarrhea and systemic therapy side effects.
- The MAIC results for the EORTC QLQ-C30 were compared with minimally important differences from evidence-based guidelines to aid in clinical interpretation of the findings.
- Clinically meaningful differences in favor of PAL + FUL compared with ABEM + FUL were found for global QoL, nausea/vomiting, appetite loss and diarrhea.
- The results of this study suggest that PAL + FUL is associated with favorable outcomes on several cancer-specific patient-reported outcome end points compared with ABEM + FUL in terms of both statistical and clinical significance.
- To the best of our knowledge, this is the first published MAIC analysis of HRQoL outcomes among patients with breast cancer and these findings may help to inform shared treatment decisions for patients with HR+/HER2- ABC, clinicians and payer/health technology assessment agencies.

Author contributions

E Law, A Haltner, R McTavish and C Cameron were responsible for the study conception and design. R Gavanji, S Walsh, E Law, A Haltner, R McTavish and C Cameron were responsible for the acquisition, analysis and interpretation of data. S Walsh was responsible for the drafting the manuscript, and all the authors were responsible for critically revising/reviewing the manuscript and for providing final approval. All the authors agree to be held accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part are appropriately investigated and resolved.

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This study was funded by Pfizer, Inc. E Law is an employee of and stockholder in Pfizer, Inc. C Cameron is an employee and shareholder of EVERSANA™. R Gavanji, S Walsh, A Haltner and R McTavish are employees of EVERSANA who were paid consultants

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Data-sharing statement

Upon request, and subject to review, Pfizer will provide the data that support the findings of this study. Subject to certain criteria, conditions and exceptions, Pfizer may also provide access to the related individual anonymized participant data. See <https://www.pfizer.com/science/clinical-trials/trial-data-and-results> for more information.

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